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Date: July 31, 1998 Docket: 87647.98R199  
In re Patent Application of Bailey et al.  
Serial No.: To be assigned Filed: herewith  
For: FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL  
ISOMER OF REDUCED FOLATES  
Transmittal cover ltr (2 pgs); signed Verified Statement  
Claiming Small Entity Status (2 pgs); signed Declaration/Power  
of Attorney (3 pgs); Preliminary Amendment (16 pgs); copy of  
Int'l Preliminary Examination Report (14 pgs); copy of Int'l  
Search Report (3 pgs); signed Assignment (2 pgs) with Recordation  
Form Cover Sheet (2 pgs); Certificates of Express Mail for each  
of the above; Express Mail No. EE817773730US; authorization to  
charge fee to deposit acct. 10-0223

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Please date stamp and return this postcard to indicate receipt  
of the enclosed papers.

09/117586

305 Rec'd PCT/PTO 31 JUL 199

1998

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DECLINED

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

87647-98R199

U.S. APPLICATION NO. (IF KNOWN), SEE 37 CFR

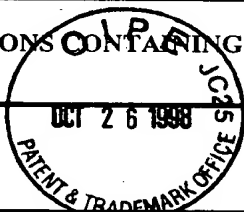
INTERNATIONAL APPLICATION NO.  
PCT/US97/01870INTERNATIONAL FILING DATE  
31 January 1997 (31.01.97)PRIORITY DATE CLAIMED  
31 January 1996 (31.01.96)

TITLE OF INVENTION

FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL ISOMER OF REDUCED FOLATES

APPLICANT(S) FOR DO/EO/US

Steven W. Bailey and June E. Ayling



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

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Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

One (1) Verified Statement Claiming Small Entity Status

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO. PCT/US97/01870	ATTORNEY'S DOCKET NUMBER 87647.98R199
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20. The following fees are submitted:
- BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**
- ☐ Search Report has been prepared by the EPO or JPO ..... \$930.00
  - ☒ International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$720.00
  - ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$790.00
  - ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,070.00
  - ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$98.00

**CALCULATIONS PTO USE ONLY**

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**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	78 - 20 =	58	x \$22.00	\$1,276.00	
Independent claims	15 - 3 =	12	x \$82.00	\$984.00	

Multiple Dependent Claims (check if applicable). ☒

**TOTAL OF ABOVE CALCULATIONS =**

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☒

**SUBTOTAL =**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ +

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

**TOTAL FEES ENCLOSED =**

Amount to be: refunded	\$
charged	\$

- ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- ☒ Please charge my Deposit Account No. **10-0223** in the amount of **\$1,665.00** to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **10-0223** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Susan J. Braman  
Jaekle Fleischmann & Mugel, LLP  
39 State Street  
Rochester, New York 14614-1310  
Tel: (716) 262-3640  
Fax: (716) 262-4133

Susan J. Braman  
SIGNATURE

Susan J. Braman  
NAME

34,103  
REGISTRATION NUMBER

July 31, 1998  
DATE